



GAU 1651
#4
2/17/99
PATENT APPLICATION
Docket: T5530.CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)

Mahendra S. Rao, Margot Mayer-Proschel)
and Anjali Kalyani)

Serial No.: 09/109,858)

Filed: July 2, 1998)

For: LINEAGE-RESTRICTED NEURONAL PRECURSORS)

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

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the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Statement of References Previously Disclosed
Under 37 C.F.R. § 1.98(d)

The listed references are not enclosed because, under 37 C.F.R. § 1.98(d), they were previously cited by or submitted to the Office in U.S. Serial No. 08/909,435, filed on July 4, 1997, and which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Dated this 29th day of January, 1999.

Respectfully submitted,


ALAN J. HOWARTH
Attorney for Applicant
Registration No. 36,553

THORPE, NORTH & WESTERN, L.L.P.
P. O. Box 1219
Sandy, Utah 84091-1219

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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 29, 1999.

Alan J. Howarth
Attorney for Applicant
Registration No. 36,553

Transmitted: Transmittal for Information Disclosure Statement
Information Disclosure Statement
Form PTO-1449 Listing of All References
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Att'y Docket No. T5530.CIP
 Group: 1651



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issue Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
|--------------------------|----------------------|-------------------|-----------------|--------------|------------------|--------------------|
| ____ A1 | 5,589,376 | 12/31/96 | Anderson et al. | | | |
| ____ A2 | 5,087,570 | 2/11/92 | Weissman et al. | | | |

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Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

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____ A3 Gage, F.H. et al., *Isolation, Characterization and Use of Stem Cells from the CNS*, 18 Ann. Rev. Neurosci. 159-92 (1995)

Examiner: _____ Date Considered: _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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A14 Ray, J. et al., *Spinal Cord Neuroblasts Proliferate in Response to Basic Fibroblast Growth Factor*, 14 J. Neurosci. 3548-64 (1994)

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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